

TRANSMITTAL FORM

(to be used on all correspondence after initial filing)

ATTORNEY DOCKET NO.

70017.0011USWO

U.S. APPLICATION SERIAL NO.

10/524,426

CONFIRMATION NO.

6259

FILING DATE

February 15, 2003

INVENTOR(S)

Limin LI et al.

EXAMINER

Not Assigned

GROUP ART UNIT

Not Assigned

TITLE OF APPLICATION

**MAMMALIAN GENES INVOLVED IN RAPAMYCIN RESISTANCE AND TUMORGENESIS:
RapR7 GENES**

ADDRESS TO:

**Mailstop PCT
Commissioner for Patents
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450****ENCLOSURES**

- ☒ Transmittal Form (In Duplicate)
☒ Fee Transmittal (In Duplicate)
☒ Extension of Time Request for 3 Month(s) (In Duplicate)
☒ Filing of Declaration Under 37 C.F.R. 1.497(a) and (b)
☒ Notification of Missing Requirements (Return Copy)
☒ Combined Declaration and Power of Attorney executed by Limin LI
☒ Combined Declaration and Power of Attorney executed by Bahman AGHDASI
☒ Statement Under 37 CFR 1.821-1.825 (1 page , executed)
☒ Sequence Listing - Paper Copy (27 Sheets)
☒ Sequence Listing - Computer Readable Form (1 Diskette)
☒ Return Postcard

☒ Please charge Deposit Account No. 13-2725 in the amount of \$1,150.00 to cover any required fees. In the event any variance exists between this amount and the Patent Office charges for filing the above-noted documents, including any fees required under 35 CFR 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 13-2725. Further, if these papers are not considered timely filed, then a request is hereby made under 37 CFR 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

CORRESPONDENCE ADDRESS

☒ The address associated with Customer Number: **23552** OR ☐ the correspondence address below.

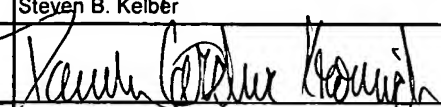
Name

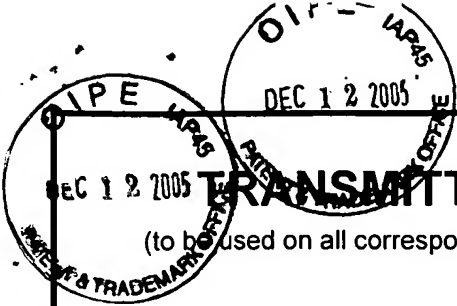
Address

City

State

Zip Code

NAME	Steven B. Kelber	REGISTRATION NO.	30,073
SIGNATURE		DATE	December 12, 2005
NAME	Dawn Gardner Krosnick	TELEPHONE	202 326-0300
		REGISTRATION NO.	44,118



PCT Rec'd PCT/PTO 12 DEC 2005

PCT

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ALEXANDRIA, VA 22313-1450

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- ☒ Transmittal Form (In Duplicate)
☒ Fee Transmittal (In Duplicate)
☒ Recordation Form Cover Sheet (PTO 1595) (In Duplicate)
☒ Assignment Document (6 pages, Executed)
☒ Return Postcard

☒ Please charge Deposit Account No. 13-2725 in the amount of \$40.00 to cover any required fees. In the event any variance exists between this amount and the Patent Office charges for filing the above-noted documents, including any fees required under 35 CFR 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 13-2725. Further, if these papers are not considered timely filed, then a request is hereby made under 37 CFR 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

CORRESPONDENCE ADDRESS

☒ The address associated with Customer Number: **23552** OR ☐ the correspondence address below.

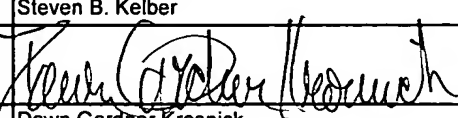
Name

Address

City

State

Zip Code

NAME	Steven B. Kelber	REGISTRATION NO.	30,073
SIGNATURE		DATE	December 12, 2005
NAME	Dawn Gardner Krosnick	TELEPHONE	202 326-0300
		REGISTRATION NO.	44,118

FEE TRANSMITTAL		ATTORNEY DOCKET NO. 70017.0011USWO	
		U.S. APPLICATION SERIAL NO. 10/524,426	CONFIRMATION NO. 6259
		FILING DATE February 15, 2003	
INVENTOR(S) Limin Li et al.		EXAMINER Not Assigned	GROUP ART UNIT Not Assigned
TITLE OF APPLICATION MAMMALIAN GENES INVOLVED IN RAPAMYCIN RESISTANCE AND TUMORGENESIS: RapR7 Genes			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Certain fees are reduced by 1/2.		TOTAL AMOUNT OF PAYMENT \$1,150.00	

☒ Please charge Deposit Account No. 13-2725 in the amount of \$1,150.00 to cover any required fees. In the event any variance exists between this amount and the Patent Office charges for filing the documents noted below, including any fees required under 37 CFR 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 13-2725. Further, if these papers are not considered timely filed, then a request is hereby made under 37 CFR 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed for fee processing.

The following fees have been submitted:

APPLICATION FEES

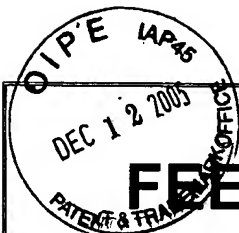
	FEE CODE	DESCRIPTION	FEE	CALCULATE
<input type="checkbox"/>	1011	Basic Filing Fee - Utility	\$300.00	
<input type="checkbox"/>	1111	Utility Search Fee	\$500.00	
<input type="checkbox"/>	1311	Utility Examination Fee	\$200.00	
<input type="checkbox"/>	1012	Basic Filing Fee - Design	\$200.00	
<input type="checkbox"/>	1112	Design Search Fee	\$100.00	
<input type="checkbox"/>	1312	Design Examination Fee	\$130.00	
<input type="checkbox"/>	1005	Provisional Application Filing Fee	\$200.00	
<input type="checkbox"/>	1014	Basic Filing Fee - Reissue	\$300.00	
<input checked="" type="checkbox"/>	1051	Surcharge - Late Filing Fee, Search Fee, Examination Fee or Oath or Dec.	\$130.00	\$130.00
<input type="checkbox"/>	1801	Request for Continued Examination	\$790.00	
<input type="checkbox"/>	1814	Terminal Disclaimer	\$130.00	
<input type="checkbox"/>	1452	Petition to Revive Unavoidably Abandoned Application	\$500.00	
<input type="checkbox"/>	1453	Petition to Revive Unintentionally Abandoned Application	\$1,500.00	
SUB TOTAL				\$ 130.00

EXTENSION OF TIME FEES

	FEE CODE	DESCRIPTION	FEE	SUBMITTED
<input type="checkbox"/>	1251	Extension for Response Within the First Month	\$120.00	
<input type="checkbox"/>	1252	Extension for Response Within the Second Month	\$450.00	
<input checked="" type="checkbox"/>	1253	Extension for Response Within the Third Month	\$1,020.00	\$1,020.00
<input type="checkbox"/>	1254	Extension for Response Within the Fourth Month	\$1,590.00	
<input type="checkbox"/>	1255	Extension for Response Within the Fifth Month	\$2,160.00	
Credit for Extensions Previously Paid				
SUB TOTAL				\$1,020.00

APPLICATION SIZE FEES

<input type="checkbox"/> Additional Fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets or fraction thereof.				\$0.00
Total Sheets	Extra Sheets	Number of each additional 50 sheets or fraction thereof (round up to whole no.)	RATE	
0-100	/50=		x \$250.00 =	



FEE TRANSMITTAL

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CONFIRMATION NO.

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FILING DATE

February 15, 2003

INVENTOR(S)

Limin Li et al.

EXAMINER

Not Assigned

GROUP ART UNIT

Not Assigned

TITLE OF APPLICATION

**MAMMALIAN GENES INVOLVED IN RAPAMYCIN
RESISTANCE AND TUMORGENESIS: RapR7 GENES**Applicant claims small entity status. See 37 CFR 1.27.
Certain fees are reduced by 1/2.

TOTAL AMOUNT OF PAYMENT

\$40.00

☒ Please charge Deposit Account No. 13-2725 in the amount of \$40.00 to cover any required fees. In the event any variance exists between this amount and the Patent Office charges for filing the documents noted below, including any fees required under 37 CFR 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 13-2725. Further, if these papers are not considered timely filed, then a request is hereby made under 37 CFR 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed for fee processing.

The following fees have been submitted:**APPLICATION FEES**

	FEE CODE	DESCRIPTION	FEE	CALCULATE
<input type="checkbox"/>	1011	Basic Filing Fee - Utility	\$300.00	
<input type="checkbox"/>	1111	Utility Search Fee	\$500.00	
<input type="checkbox"/>	1311	Utility Examination Fee	\$200.00	
<input type="checkbox"/>	1012	Basic Filing Fee - Design	\$200.00	
<input type="checkbox"/>	1112	Design Search Fee	\$100.00	
<input type="checkbox"/>	1312	Design Examination Fee	\$130.00	
<input type="checkbox"/>	1005	Provisional Application Filing Fee	\$200.00	
<input type="checkbox"/>	1014	Basic Filing Fee - Reissue	\$300.00	
<input type="checkbox"/>	1051	Surcharge - Late Filing Fee, Search Fee, Examination Fee or Oath or Dec.	\$130.00	
<input type="checkbox"/>	1801	Request for Continued Examination	\$790.00	
<input type="checkbox"/>	1814	Terminal Disclaimer	\$130.00	
<input type="checkbox"/>	1452	Petition to Revive Unavoidably Abandoned Application	\$500.00	
<input type="checkbox"/>	1453	Petition to Revive Unintentionally Abandoned Application	\$1,500.00	
SUB TOTAL				\$ 0.00

EXTENSION OF TIME FEES

	FEE CODE	DESCRIPTION	FEE	SUBMITTED
<input type="checkbox"/>	1251	Extension for Response Within the First Month	\$120.00	
<input type="checkbox"/>	1252	Extension for Response Within the Second Month	\$450.00	
<input type="checkbox"/>	1253	Extension for Response Within the Third Month	\$1,020.00	
<input type="checkbox"/>	1254	Extension for Response Within the Fourth Month	\$1,590.00	
<input type="checkbox"/>	1255	Extension for Response Within the Fifth Month	\$2,160.00	
Credit for Extensions Previously Paid				
SUB TOTAL				\$ 0.00

APPLICATION SIZE FEES

<input type="checkbox"/> Additional Fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets or fraction thereof.				\$0.00
Total Sheets	Extra Sheets	Number of each additional 50 sheets or fraction thereof (round up to whole no.)	RATE	
0-100	/50=	0	x \$250.00 =	

DOCKET NO. 70017.0011USWO	U.S. APPLICATION SERIAL NO. 10/524,426	FILING DATE February 15, 2003
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CLAIM FEES

CLAIMS	NUMBER FILED	NUMBER PREV. PAID FOR	MAX. PAID	NUMBER OF ADD'L CLAIMS	RATE		
Total Claims	0	<input checked="" type="checkbox"/>	20		x \$50	\$0.00	\$0.00
Independent Claims	0	<input checked="" type="checkbox"/>	3		x \$200	\$0.00	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM(S)					+ \$360	\$0.00	
TOTAL OF ABOVE CALCULATIONS =							

APPEALS/INTERFERENCE FEES

	FEE CODE	DESCRIPTION	FEE	SUBMITTED
<input type="checkbox"/>	1401	Notice of Appeal	\$500.00	
<input type="checkbox"/>	1402	Filing a Brief in Support of an Appeal	\$500.00	
<input type="checkbox"/>	1403	Request for oral Hearing	\$1,000.00	
SUB TOTAL				\$ 0.00

POST-ALLOWANCE FEES

	FEE CODE	DESCRIPTION	FEE	SUBMITTED
<input type="checkbox"/>	1501/1511	Utility/Reissue Issue Fee	\$1,400.00	
<input type="checkbox"/>	1502	Design Issue Fee	\$800.00	
<input type="checkbox"/>	1503	Plant Issue Fee	\$1,100.00	
SUB TOTAL				\$ 0.00

TOTAL OF FEES SUBJECT TO REDUCTION FOR SMALL ENTITY STATUS			\$1,150.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.			x 1.00= \$1,150.00

FEES NOT SUBJECT TO REDUCTION FOR SMALL ENTITY STATUS

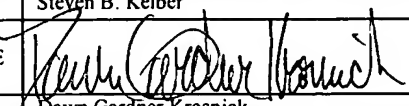
POST-ISSUANCE FEES

	FEE CODE	DESCRIPTION	FEE	SUBMITTED
<input type="checkbox"/>	1811	Certificate of Correction	\$100.00	
<input type="checkbox"/>	1812	Request for Ex Parte Reexamination	\$2,520.00	
<input type="checkbox"/>	1813	Request for Inter Partes Reexamination	\$8,800.00	
SUB TOTAL				\$ 0.00

MISCELLANEOUS FEES

	FEE CODE	DESCRIPTION	FEE	SUBMITTED
<input type="checkbox"/>	1053	Non-English Specification	\$130.00	
<input type="checkbox"/>	1806	Submission of an Information Disclosure Statement	\$180.00	
<input type="checkbox"/>	8001	Printed Copy of Patent <u>0</u> copies x	\$3.00	
<input type="checkbox"/>	8021	Recording Assignment, Agreement or Other Paper <u>0</u> properties x	\$40.00	
<input type="checkbox"/>	1504/1505	Publication/Republication Fee	\$300.00	
SUB TOTAL				\$ 0.00

TOTAL FEES SUBMITTED	\$1,150.00
-----------------------------	-------------------

NAME	Steven B. Kelber	REGISTRATION NO.	30,073
SIGNATURE		DATE	December 12, 2005
NAME	Dawn Gardner Krosnick	REGISTRATION NO.	44,118
TELEPHONE	202 326-0300		



DOCKET NO. 70017.0011US	U.S. APPLICATION SERIAL NO. 10/524,426	FILING DATE February 15, 2003
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CLAIM FEES

CLAIMS	NUMBER FILED	NUMBER PREV. PAID FOR	MAX. PAID	NUMBER OF ADD'L CLAIMS	RATE		
Total Claims	0	<input checked="" type="checkbox"/>	20	0	x \$50	\$0.00	\$0.00
Independent Claims	0	<input checked="" type="checkbox"/>	3	0	x \$200	\$0.00	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM(S)					+ \$360	\$0.00	
TOTAL OF ABOVE CALCULATIONS =							

APPEALS/INTERFERENCE FEES

	FEE CODE	DESCRIPTION	FEE	SUBMITTED
<input type="checkbox"/>	1401	Notice of Appeal	\$500.00	
<input type="checkbox"/>	1402	Filing a Brief in Support of an Appeal	\$500.00	
<input type="checkbox"/>	1403	Request for oral Hearing	\$1,000.00	
SUB TOTAL			\$ 0.00	

POST-ALLOWANCE FEES

	FEE CODE	DESCRIPTION	FEE	SUBMITTED
<input type="checkbox"/>	1501/1511	Utility/Reissue Issue Fee	\$1,400.00	
<input type="checkbox"/>	1502	Design Issue Fee	\$800.00	
<input type="checkbox"/>	1503	Plant Issue Fee	\$1,100.00	
SUB TOTAL			\$ 0.00	

TOTAL OF FEES SUBJECT TO REDUCTION FOR SMALL ENTITY STATUS			\$0.00
<input type="checkbox"/>	Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.		x 1.00= \$0.00

FEES NOT SUBJECT TO REDUCTION FOR SMALL ENTITY STATUS**POST-ISSUANCE FEES**

	FEE CODE	DESCRIPTION	FEE	SUBMITTED
<input type="checkbox"/>	1811	Certificate of Correction	\$100.00	
<input type="checkbox"/>	1812	Request for Ex Parte Reexamination	\$2,520.00	
<input type="checkbox"/>	1813	Request for Inter Partes Reexamination	\$8,800.00	
SUB TOTAL			\$ 0.00	

MISCELLANEOUS FEES

	FEE CODE	DESCRIPTION	FEE	SUBMITTED
<input type="checkbox"/>	1053	Non-English Specification	\$130.00	
<input type="checkbox"/>	1806	Submission of an Information Disclosure Statement	\$180.00	
<input type="checkbox"/>	8001	Printed Copy of Patent	0 copies x \$3.00	\$0.00
<input checked="" type="checkbox"/>	8021	Recording Assignment, Agreement or Other Paper	1 properties x \$40.00	\$40.00
<input type="checkbox"/>	1504/1505	Publication/Republication Fee	\$300.00	
SUB TOTAL			\$ 40.00	

TOTAL FEES SUBMITTED	\$40.00
-----------------------------	----------------

NAME	Steven B. Kelber	REGISTRATION NO.	30,073
SIGNATURE		DATE	December 12, 2005
NAME	Dawn Gardner Krosnick	REGISTRATION NO.	44,118
		TELEPHONE	202 326-0300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING OF DECLARATION UNDER 37 C.F.R. 1.497(a) and (b)		ATTORNEY DOCKET NO. 70017.0011USWO	
		U.S. APPLICATION SERIAL NO. 10/524,426	CONFIRMATION NO. 6259
		FILING DATE February 15, 2003	
INVENTOR(S) Limin Li et al.		EXAMINER (If known) Unassigned	ART UNIT (If known) N/A
TITLE OF APPLICATION MAMMALIAN GENES INVOLVED IN RAPAMYCIN RESISTANCE AND TUMORGENESIS: RAPR7 GENES			

MAIL STOP: PCT
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

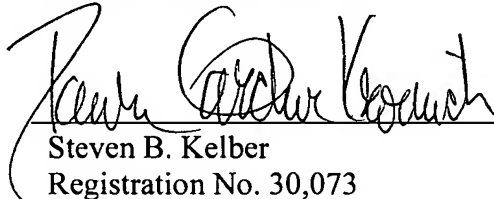
Dear Sir:

Responsive to the notification dated July 12, 2005, and in accordance with the provisions of 37 C.F.R. 1.497(a) and (b), Applicants submit herewith an executed Declaration and Power of Attorney.

In view of the foregoing, this application is deemed to be in proper condition for examination on the merits and such favorable action is earnestly solicited.

Respectfully submitted,

MERCHANT & GOULD


Steven B. Kelber
Registration No. 30,073

December 12, 2005
Date

P.O. Box 2903
Minneapolis, Minnesota 55402-0903
Telephone No. (202) 326-0300
Facsimile No. (202) 326-0778

Dawn Gardner Krosnick
Registration No: 44,118

23552
Patent & Trademark Office

10784



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/524,426		5398-011-27 NATL

INTERNATIONAL APPLICATION NO.

PCT/US03/26073

LA. FILING DATE	PRIORITY DATE
08/15/2003	08/15/2002

20583
 JONES DAY
 222 EAST 41ST ST
 NEW YORK, NY 10017

DLA PIPER RUDNICK
 GRAY CARY US LLP
 JUL 28 2005

MERCHANT & GOULD
 RECEIVED
 JUL 29 2005

CONFIRMATION NO. 6259

371 FORMALITIES LETTER



OC000000016445355

Date Mailed: 07/12/2005

D.C. OFFICE

Dec / Seq Due 9-12-2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/15/2005
- Copy of the International Search Report filed on 02/15/2005
- Request for Immediate Examination filed on 02/15/2005
- U.S. Basic National Fees filed on 02/15/2005
- Priority Documents filed on 02/15/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604

(September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/524,426	PCT/US03/26073	5398-011-27 NATL